

# **Guidelines for Preparation of Articles Format Theses/Dissertation**

## **Introduction**

The purpose of these guidelines is to assist students in the preparation of an “articles” based thesis. Prior to initiating an articles-based thesis, the candidate must consult with the supervisor and the Director of Graduate Studies for permission to follow this format.

The School of Graduate Studies [1994, p. 2] defines an articles format thesis as being:

...based on published research which you have conducted while enrolled as a graduate student at UNB but may not include articles derived from a previous thesis. An articles-based thesis would involve the integration of no fewer than *three published* works into a coherent argument or sequence by using appropriate introductory and summarizing chapters (emphasis added).

The thesis must meet GGE’s standards for originality, contribution to applied science, and demonstration of design.

The goal of the articles format thesis is to encourage publication of research of the highest standards in a timely manner. The idea is, where the nature of the work lends itself to an articles format thesis, to remove the student from the burden of publishing a lengthy thesis in favor of greater emphasis on the quality of the research and the active publication of the results. Regardless of the nature and number of published works, the thesis must make a significant contribution to the discipline.

## **Acceptance of the Thesis**

Mere adherence to these guidelines does not infer acceptance of the thesis. Once written following these guidelines, the thesis is subject to approval and acceptance procedures set down by the School of Graduate Studies for Masters and Doctoral theses. Nothing in these guidelines limits the role of the Examining Committee as final arbitrator for the thesis.

## **Publication Requirements**

A published work is defined as refereed journal article, a book chapter, or conference proceeding. The assessment of a work as being suitable for inclusion as one of the minimum of three published works rests with the student’s Supervisory Committee and the Director of Graduate Studies.

Given the lengthy time required for publication of a paper in a refereed journal the following requirements must be met:

- a. One of the minimum of three works must be fully published in a refereed journal at the time of submission of the thesis. Proof of publication must be provided to the Supervisor prior to submission of the thesis to the Examining Board.
- b. Where any of the remaining articles are to be published in a refereed journal, at the time of submission of thesis to the Examining Board, at least one of the

- remaining articles must be accepted for publication. Proof of acceptance must be provided to the Supervisor prior to submission of the thesis to the Examining Board.
- c. Proof of submission of the remaining paper (of the minimum of three papers required) to a conference, refereed journal, or book must be provided to the Supervisor prior to submission of the thesis to the Examining Board.
  - d. Co-authorship of the published papers is allowed, however the student must be the principal author for three of the published works.
  - e. For previously published works included in the thesis, the student must submit to the Supervisor prior to submission to the Examining Board, the necessary letters of permission from editors, publishers, and co-authors.

### **Formatting Requirements**

An articles format thesis must comply with the guidelines set out by the School of Graduate Studies and the Style Manual for Geodesy and Geomatics Engineering, Lecture Notes No. 54.

The articles format thesis shall follow the arrangement for a thesis as set out in the Guidelines and Lecture Notes 54 as follows:

Cover

Title Page

Abstract

Preface

Acknowledgements

Table of Contents

List of Tables

List of Figures

List of Symbols, Nomenclature, or Abbreviations

Body of Work including:

- a) An Introductory Chapter. This chapter should provide “coherence by explaining the line of argument to be elaborated in the articles.”
- b) A minimum of three chapters in articles format each containing a single published work, as defined above. Each articles format chapter must contain:
  - (1) An introductory note, or footnote, indicating the publication status of the work.
  - (2) A full scholarly citation for the published work.
  - (3) Its own footnotes, references, and bibliography.
  - (4) The text of the articles must be retyped for inclusion into the thesis in the format set out in LN 54 and by the guidelines from the School of Graduate Studies. This includes, but is not limited to, double line

spacing, 12 point Times or Times New Roman font, 4 cm left-hand margin, and all other margins are 2.5 cm.

- (5) Page numbering for the articles must follow the overall page numbering scheme for the thesis.
- c) Any “Bridging” Sections, between the articles, deemed necessary to make the thesis a coherent document.
- d) A Final Chapter containing general discussion, conclusions, recommendations, and for further work. This chapter must reinforce the linkages between the “separate articles...and to the relevant discipline or field of study.”

References

Bibliography

Appendices

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### **Writing Style**

Reflecting the desire to construct a “coherent” thesis document, the writing style of the Introductory Chapter, the Bridging Sections, and the Final Chapter should reflect as much as is possible the compact writing style associated with journal publications. In no way does this mean that completeness should be compromised for compactness. However, the entire thesis should be a concise, coherent development of an argument.

### **References**

School of Graduate Studies, University of New Brunswick (n.d.). “*Regulations and Guidelines for the Preparation and Submission of Graduate Theses and Reports*”. Retrieved on December 11, 2003.  
<http://www.unb.ca/etd/index.html>

Wells, W. (1996). “*Style Manual for the Department of Geodesy and Geomatics Engineering*.” Lecture Notes 54., Department of Geodesy and Geomatics Engineering, University of New Brunswick, Fredericton, N.B., Canada.  
<http://gge.unb.ca/Pubs/LN54.pdf>